

AUG-09-06 WED 04:46 PM SALIWANCHIK LLOYD

FAX NO. 3523725800

P. 01

**FACSIMILE COVER SHEET**

**SALIWANCHIK, LLOYD & SALIWANCHIK**

A Professional Association

P.O. Box 142950

Gainesville, FL 32614-2950

Telephone (352) 375-8100

Facsimile (352) 372-5800

**RECEIVED  
CENTRAL FAX CENTER**

**AUG 09 2006**

**TO:** Examining Group 1646

**FROM:** Glenn P. Ladwig  
Patent Attorney  
Registration No. 46,853

**COMPANY:** United States Patent and  
Trademark Office

**DATE:** August 9, 2006

**FAX NO.:** 571-273-8300

**NO. OF PAGES  
(INCLUDING COVER SHEET):** 10

**SUBJECT/MESSAGE:**

**Re:** U.S. Patent Application Docket No. USF-T193XC1  
VECTORS FOR REGULATING GENE EXPRESSION  
Serial No.: **10/544,146**; Date filed: August 2, 2005  
Applicants: Mohapatra, Zhang

**Submission to PTO:**

1. Request for Correction of Filing Receipt
2. Marked-up copy of erroneous Filing Receipt
3. Copy of Declaration (37 CFR 1.63) and Power of Attorney

---

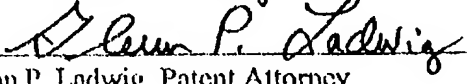
*The information contained in this facsimile message is intended only for the personal and confidential use of the designated recipients named below. This message may be an attorney-client communication, and as such is privileged and confidential. If the reader of this message is not the intended recipient or an agent responsible for delivering it to the intended recipient, you are hereby notified that you have received this document in error, and that any review, dissemination, distribution, or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message by mail. Thank you.*

---

**If you do not receive all pages or if any transmission is not legible, call the sender at (352) 375-8100.**

H:\FORMS\FAX\PTO2.DOC\DNB/mv

I hereby certify that this correspondence is being  
facsimile transmitted to the United States Patent and  
Trademark Office on August 9, 2006.

  
Glenn P. Ladwig, Patent Attorney

REQUEST FOR CORRECTION OF FILING  
RECEIPT

Examining Group 1646  
Patent Application  
Docket No. USF-T193XC1  
Serial No. 10/544,146

RECEIVED  
CENTRAL FAX CENTER  
AUG 09 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1646  
Applicants : Shyam S. Mohapatra, Weidong Zhang  
Serial No. : 10/544,146  
Filed : August 2, 2005  
For : Vectors for Regulating Gene Expression

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

The applicants respectfully request correction of the Filing Receipt received for the above-identified patent application. The corrections needed are in the "Applicant(s)" and "Domestic Priority data as claimed by applicant" sections. The corrections needed are as follows:

In the "Applicant(s)" section:

Shyam S. Mohapatra, Tampa, FL;

should read

Shyam S. Mohapatra, Tampa, FL;  
**Weidong Zhang, Tampa, FL**

In the "Domestic Priority data as claimed by applicant" section:

This Application is a 371 of PCT/US04/05566 02/23/2004

should read

This Application is a 371 of PCT/US04/05566 02/23/2004;  
**which claims benefit of U.S. Application No. 60/319,964 02/21/2003**  
**and U.S. Application No. 60/320,108 04/15/2003**

2

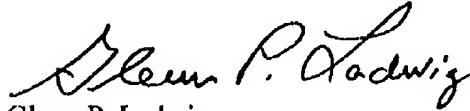
Docket No. USF-T193XC1  
Serial No. 10/544,146

These U.S. Application numbers are currently listed in the "Foreign Applications" section.

Attached with this request is a copy of the Declaration and Power of Attorney as filed in the Patent Office. A marked-up copy of the erroneous Filing Receipt is also attached. Correction of the above is respectfully requested.

The Commissioner is hereby authorized to charge any fees which may be required to Deposit Account No. 19-0065.

Respectfully submitted,



Glenn P. Ladwig

Patent Attorney

Registration No. 46,853

Phone No.: 352-375-8100

Address : P.O. Box 142950

Gainesville, FL 32614-2950

GPL/mv

Enclosures: Copy of Declaration (37 CFR 1.63) and Power of Attorney  
Copy of erroneous Filing Receipt

J:USPT193XCINPTOREQ CORR FR.DOC/DNB/mv



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPL NO    | FILING OR 371<br>(c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/544,146 | 05/05/2006                | 1646     | 640           | USF-T193XC1     | 10       | 25       | 3        |

CONFIRMATION NO. 9945

23557

SALIWANCHIK LLOYD & SALIWANCHIK  
A PROFESSIONAL ASSOCIATION  
PO BOX 142950  
GAINESVILLE, FL 32614-2950

## FILING RECEIPT



\*OC000000019693813\*

JUL 28 2006

CPL

88

Date Mailed: 07/21/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Shyam S. Mohapatra, Tampa, FL;

Weidong Zhang, Tampa, FL

Power of Attorney: The patent practitioners associated with Customer Number 23557.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US04/05566 02/23/2004; which claims benefit of  
US application no. 60/319,964 02/21/2003 and US application no. 60/320,108 04/15/2003

## Foreign Applications

~~UNITED STATES OF AMERICA 60310964 02/21/2003~~~~UNITED STATES OF AMERICA 60320108 04/15/2003~~

If Required, Foreign Filing License Granted: 07/20/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/544,146**

Projected Publication Date: 10/26/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Docket No. USF-T193NC1

## DECLARATION (37 C.F.R. § 1.63) AND POWER OF ATTORNEY

**RECEIVED**  
**CENTRAL FAX CENTER****AUG 09 2006**

As a duly named inventor, I hereby declare that:

My residence, last office address, and citizenship are as stated below next to my name; and

I believe that I am the original, first, and sole inventor (if only one name is listed below), or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Vectors for Regulating Gene Expression, specification for which

[1] is attached hereto,

[2] was filed February 23, 2004, Serial No. PCT/US2004/005566.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code §119 and/or §365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

| Application<br>Serial No. | Country | Filing Date | Priority Claimed |
|---------------------------|---------|-------------|------------------|
|                           |         |             |                  |

I hereby claim priority benefits under Title 35, United States Code §119 of any provisional application(s) for patent listed below:

| Application<br>Serial No. | Filing Date       | Priority Claimed |
|---------------------------|-------------------|------------------|
| 60/319,964                | February 21, 2003 | Yes              |
| 60/330,108                | April 15, 2003    | Yes              |

I hereby claim the benefit under Title 35, United States Code, §120 and/or §365 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(c) which has become available between the filing date of the prior application and the national or PCT international filing date of this application:

| Application<br>Serial No. | Filing Date | Status (Patented,<br>Pending, Abandoned) |
|---------------------------|-------------|--|
|                           |             |  |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Docket No. USF-T193XC1

I hereby appoint the following persons registered to practice before the Patent and Trademark Office as my attorneys with full power of substitution and revocation to prosecute this application and all divisions and continuations, and to conduct all business in the Patent and Trademark Office connected therewith who are associated with **Customer Number 23557**.

The attorneys/patent agents currently associated with this Customer Number are: David R. Saliwanchik, Reg. No. 31,791; LeH Lloyd, Reg. No. 35,589; Doran R. Pace, Reg. No. 38,261; Jay M. Sanders, Reg. No. 39,355; Jean Kyle, Reg. No. 39,987; James S. Parker, Reg. No. 40,119; Frank C. Eisenschenk, Reg. No. 45,332; Glenn P. Ladwig, Reg. No. 46,353; Margaret Ebron, Reg. No. 47,545; Gwendolyn L. Daniels, Reg. No. 51,594; John M. Sanders, Reg. No. 50,126; Jean M. Morrison, Reg. No. 55,468; and Mai-Tram Dinh Lauer, Reg. No. 43,589.

I request that all correspondence be sent to:

Glenn P. Ladwig  
Saliwanchik, Lloyd & Saliwanchik  
A Professional Association  
PO Box 112950  
Gainesville, FL 32614-2950

I further request that all telephone communications be directed to:

Glenn P. Ladwig  
352-375-8100

Docket No. USF-T193XC1

Name of First or Sole Inventor Slyam S. MohapatraResidence Tampa, FL Citizenship U.S.Post Office Address 18510 Country Crest PlaceTampa, FL 33647Signature of First or Sole Inventor [Signature] Date 8/9/2006Name of Second Joint Inventor Weidong ZhangResidence Tampa, FL Citizenship ChinaPost Office Address 14247 Les Palms Circle Apt. 2Tampa, FL 33613Signature of Second Joint Inventor [Signature] Date \_\_\_\_\_

Name of Third Joint Inventor \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Signature of Third Joint Inventor \_\_\_\_\_ Date \_\_\_\_\_

Name of Fourth Joint Inventor \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

Post Office Address \_\_\_\_\_

Signature of Fourth Joint Inventor \_\_\_\_\_ Date \_\_\_\_\_

By SLI:119 (s) E:\applications\Dec\POA.doc\DRB\aw

Page 3 of 3

Docket No. USF-T193XC1

## DECLARATION (37 C.F.R. § 1.63) AND POWER OF ATTORNEY

**RECEIVED**  
**CENTRAL FAX CENTER**  
**AUG 09 2006**

As a below named inventor, I hereby declare that:

(A) residence, post office address, and citizenship are as stated below next to my name; and

I believe that I am the original, first, and sole inventor (if only one name is listed below), or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought in the invention entitled Vectors for Regulating Gene Expression, specification for which

☐ is attached hereto.

☒ was filed February 23, 2004, Serial No. PC1/US2004/003566.

Thereby state that I have reviewed and understand the contents of the above-identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (a).

I hereby claim foreign priority benefits under Title 35, United States Code §119 and/or §365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| Application<br>Serial No. | Country | Filing Date | Priority Claimed |
|---------------------------|---------|-------------|------------------|
|---------------------------|---------|-------------|------------------|

I hereby claim priority benefits under Title 35, United States Code §119 of any provisional application(s) for patent listed below:

| Application<br>Serial No. | Filing Date       | Priority Claimed |
|---------------------------|-------------------|------------------|
| 60/116,964                | February 21, 2003 | Yes              |
| 60/320,108                | April 15, 2003    | Yes              |

I hereby claim the benefit under Title 35, United States Code, §120 and/or §365 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(d) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

| Application<br>Serial No. | Filing Date | Status (Patented,<br>Pending, Abandoned) |
|---------------------------|-------------|--|
|---------------------------|-------------|--|

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Docket No. USF-T193XC1

I hereby appoint the following persons registered to practice before the Patent and Trademark Office as my attorneys with full power of substitution and revocation to prosecute this application and all divisions and continuations thereof and to transact all business in the Patent and Trademark Office connected therewith who are associated with **Customer Number 23557**.

The attorneys-in-vent agents currently associated with this Customer Number are: David R. Saliwanchik, Reg. No. 31,791; L.H. Lloyd, Reg. No. 35,589; Doran R. Pace, Reg. No. 38,261; Jay M. Sanders, Reg. No. 39,355; Jean Kyle, Reg. No. 39,981; James S. Parker, Reg. No. 40,119; Frank C. Eisenschenk, Reg. No. 45,332; Glenn P. Ladwig, Reg. No. 45,853; Margaret Efron, Reg. No. 47,545; Gwendolyn L. Daniels, Reg. No. 51,594; John M. Sanders, Reg. No. 52,110; Jenna M. Morrison, Reg. No. 55,468; and Mai-Tram Dinh Lauer, Reg. No. 43,589.

I request that all correspondence be sent to:

Glenn P. Ladwig  
Saliwanchik, Lloyd & Saliwanchik  
A Professional Association  
PO Box 142950  
Gainesville, FL 32614-2950

I further request that all telephone communications be directed to:

Glenn P. Ladwig  
352-375-8100

Locker No. USF-T193XC1

Name of First or Sole Inventor Shyam S. Mohapatra  
Residence Tampa, FL Citizenship U.S.  
Post Office Address 18510 Country Crest Place  
Tampa, FL 33647  
Signature of First or Sole Inventor \_\_\_\_\_ Date 11/10/05

Name of Second Joint Inventor Weidong Zhang  
Residence Tampa, FL Citizenship China  
Post Office Address 13347 Lox Palms Circle Apts. 2  
Tampa, FL 33613  
Signature of Second Joint Inventor Weidong Zhang Date 11/10/05

Name of Third Joint Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_  
Signature of Third Joint Inventor \_\_\_\_\_ Date \_\_\_\_\_

Name of Fourth Joint Inventor \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_  
Signature of Fourth Joint Inventor \_\_\_\_\_ Date \_\_\_\_\_

Page 3 of 3

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**